

Investor Complaints & Grievances
Broking and Depository
Annexure - B/C



November-2022

SN	Received from	Carried forward from previous month	Received during the month	Total Pending	Resolved	Pending at the end of the month**		Average Resolution time^(in days)
						Pending for less than 3 months	Pending for more than 3 months	
1	2	3	4	5	6	7		8
1	Directly from Investors	0	0	0	0	0	0	0
2	SEBI (SCORES)	0	0	0	0	0	0	0
3	Stock Exchanges/ Depositories	0	0	0	0	0	0	0
4	Other Sources (if any)	0	0	0	0	0	0	0
5	Grand Total	0	0	0	0	0	0	0

Trend of monthly disposal of complaints

SN	Month	Carried forward from previous month	Received	Resolved*	Pending**
1	2	3	4	5	6
1	Apr-2022	0	0	0	0
2	May-2022	0	0	0	0
3	Jun-2022	0	0	0	0
4	Jul-2022	0	0	0	0
5	Aug-2022	0	0	0	0
6	Sep-2022	0	0	0	0
7	Oct-2022	0	0	0	0
8	Nov-2022	0	0	0	0
9	Dec-2022				
10	Jan-2023				
11	Feb-2023				
12	Mar-2023				
	Grand Total	0	0	0	0

Trend of annual disposal of complaints

SN	Year	Carried forward from previous year	Received during the year	Resolved during the year	Pending at the end of the year
1	2018-19	0	0	0	0
2	2019-20	0	0	0	0
3	2020-21	0	0	0	0
4	2021-22	0	2	2	0
5	2022-23	0			
	Grand Total				

SPS Share Brokers Private Limited

4C VULCAN INSURANCE BUILDING 4TH FLOOR NEXT TO GAYLORD RESTAURANT CHURCHGATE MUMBAI – 400020

SEBI REGN NO BROKING: INZ000206136 SEBI REGN NO CDSL DP: IN-DP-80-2015 CIN: U67190MH1997PTC109343

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